

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

Circular to MiFID firms which are required to submit either the Appendix 2A - Financial Return for Category 1 & Category 4b and the Appendix 2C - Automated COREP Return as part of their regulatory reporting requirements

18th November 2015

We are pleased to advise that the MFSA has further automated the submission of [i] Appendix 2A - Financial Return for Category 1 & Category 4b; and [ii] Appendix 2C – Automated COREP Return for Category 2 & 3 Licence Holders with a view to facilitating further reporting requirements.

As from **1st January 2016**, Appendix 2A or Appendix 2C (as applicable) is to be submitted on-line through the MFSA's Licence Holder (LH) Portal which can be accessed through <https://lhportal.mfsa.com.mt>.

Licence Holders are to note that the following submissions are being discontinued as from 1st January 2016 as follows:

1. Appendix 2A – Financial Return for Category 1 & 4b submission via email; and
2. Appendix 2C – Automated COREP Return submission via the FRE/D Portal.

Further guidance on how to access the MFSA's Licence Holder Portal (LH) is being sent to all Licence Holders via email in due course.

Any queries in relation to the implementation of the LH Portal submission system are to be sent directly to Mr Andrew Said on asaid@mfsa.com.mt, Tel: 2548 5457 or Ms Lisa Cordina on lcordina@mfsa.com.mt, Tel 2548 5448 respectively.

**Communications Unit
Malta Financial Services Authority
18th November, 2015**