

Circular addressed to all MiFID Investment Services Licence Holders

The Securities and Markets Supervision Unit within the MFSA prepared a timetable outlining details of all regulatory documentations and methods of submission to assist Investment Services Licence Holders to comply with their obligations under Part B1 of the Investment Services Rules.

Licence Holders may access this information through the following link: <u>Investment Services Licence Holder Documentation Timetable</u>

We reiterate the importance that regulatory documentation is submitted in a timely manner through the appropriate channels.

Contacts

Any queries or requests for clarifications are to be addressed to the undersigned on asaid@mfsa.com.mt or lcordina@mfsa.com.mt on 2548 5457/48.

Communications Unit Malta Financial Services Authority 8th January, 2016