

MFSA

MALTA FINANCIAL SERVICES AUTHORITY

Circular to the financial services industry on changes to the Standard Licence Conditions applicable to Category 4 Investment Services Licence Holders

The MFSA has published a revised version of the Standard Licence Conditions applicable to Investment Services Licence Holders which qualify as custodians. SLC 2.16 of Part BIV of the Rules has been introduced to enable the Authority to collate information for statistical and supervisory purposes.

The licence holder shall prepare and submit to the MFSA the Return for Custodians in the form set out in Appendix 2D. The form should be filled in on a quarterly (calendar) basis and submitted to the MFSA within one month of the end of the relevant quarter to the following email address: statistics@mfsa.com.mt. Part of this information is already being requested by email and accordingly will start being collected through the return going forward. The return for the fourth quarter of 2016 should be submitted by **8th March 2017**.

The following naming convention should be utilised for the return file name: LicenceHolderCode_MonthYear. As an example, the return file name for a custodian with licence holder code **XYZ** for the **December 2016** quarter should read as follows: **XYZ_DEC16**.

The revised Investment Services Rules and Appendix 2D are available for download from the MFSA website.

Any queries on the return should be addressed to the Regulatory Development Unit by e-mail on statistics@mfsa.com.mt.

Communications Unit
Malta Financial Services Authority
8th February 2017