

ANNEX IV

(Paragraph 8.6.2(a) of Chapter 8)

Declaration Form

1. This Annex contains a Declaration Form which is to accompany the information to be submitted to the competent authority for supervisory purposes pursuant to paragraph 8.6.1 and paragraph 8.6.2(a). An authorised undertaking is to submit the Declaration Form for Quarterly Reporting, Annual Reporting and the regular supervisory report (“RSR”), as applicable, and as contained in Parts I, II and III of this Annex, respectively.

Part I

Declaration Form - Quarterly Reporting

I, the undersigned, confirm that the:

quarterly quantitative reporting templates;

quarterly national specific templates;

for the period / / to / / have been approved by the Board of Directors /
Persons who effectively run the authorised undertaking (*delete as applicable*).

Name

Signature

Director of the undertaking

on behalf of the Board of Directors

or

Persons who effectively run
the undertaking

Date _____

Part II

Declaration Form - Annual Reporting

I, the undersigned, confirm that the:

- annual quantitative reporting templates;
- annual national specific templates;

for the period / / to / / have been approved by the Board of Directors:

Name

Signature

Director of the undertaking on behalf of the Board of Directors.

Date

Part III

Declaration Form – Regular Supervisory Report

I, the undersigned, confirm that the:

- regular supervisory report (“RSR”)

for the period / / to / / have been approved by the Board of Directors:

Name

Signature

Director of the undertaking on behalf of the Board of Directors.

Date