



2 October 2019

Revisions to the Conduct-Related Data Return

Reference is made to the latest <u>Circular</u> issued by the Malta Financial Services Authority ['Authority'] regarding the Conduct-Related Data Return ['Return'] to be submitted by investment services licence holders on a quarterly basis.

Kindly note that the Authority has revised the Return, with major changes carried out to the Financial Innovation Survey. Regulated Entities are kindly required to refer to the latest version of the document available on the MFSA website dated September 2019. The same changes were also reflected in the COREP return, specifically in the part dealing with the Conduct Related Data.

Furthermore, the Authority is also updating the Guidance Document and the Glossary to be referred to by the relevant entities when compiling the Return. These documents can be accessed via this link.

May we remind you that entities are required to submit the Return covering Q3 of 2019 by 11th November 2019.

Contacts

Should you have any queries regarding the above, please do not hesitate to contact us via financialpromotion@mfsa.com.mt.