

Annex A

<u>Regulation or Guideline Name</u>	<u>Rulebook</u>	<u>Amended Rule(s)</u>
<p>Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets ("MiCA")</p> <p>Draft RTS specifying the information to be included by certain financial entities in the notification of their intention to provide crypto-asset services</p>	Glossary to the Investment Services Rules for Investment Services Providers	MiCA: Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets, and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives 2013/36/EU and (EU) 2019/1937."
	Part A - Investment Services Rules for Investment Services Providers	<p>Section 15: Rules applicable to Investment Services Licence Holders that intend to offer services under the MiCA Regulation</p> <p>R15-15.1: Licence holders intending to offer services under the MiCA Regulation must submit Notification Form AA103 to the MFSA, completed with all required information as outlined in Title V of the MiCA Regulation and the related Delegated Act(s), at least 40 working days before providing those services for the first time."</p>
	Part BI – Investment Firms	<p>R1-1.2.1</p> <p>80. MiCA: Regulation (EU) 2023/1114 of the European Parliament and of the Council of 31 May 2023 on markets in crypto-assets, and amending Regulations (EU) No 1093/2010 and (EU) No 1095/2010 and Directives</p>

		<p>2013/36/EU and (EU) 2019/1937.</p> <p>...</p> <p>Title 6: MiCA Requirements R1-6.1 Ongoing Obligations for Investment Firms</p> <p>R1-6.1.1 Licence Holders shall make reference to Title V of the MiCA Regulation where they offer crypto-asset services in terms of such Title.</p>
	Part BII - UCITS ManCos	<p>Section 8: Licence Conditions Applicable to UCITS Managers Providing Services Under the MiCA Regulation</p> <p>8.01: Licence Holders shall make reference to Title V of the MiCA Regulation where they offer crypto-asset services in terms of such Title.”</p>
	Part BIII - AIFMs	<p>Section 14: Licence Conditions applicable to AIFMs Providing Services under the MiCA Regulation</p> <p>14.01: Licence Holders shall make reference to Title V of the MiCA Regulation where they offer crypto-asset Services in terms of such Title.”</p>
<p>Joint EBA and ESMA guidelines on suitability of management body members and shareholders for entities under MiCA</p>	Part A – Investment Services Rules for Investment Services Providers	<p>R15-15.2: The Licence Holder shall make reference to and comply with the Joint EBA and ESMA guidelines on suitability of management body members and</p>

		shareholders for entities under MiCA , where applicable.
	Part BI – Investment Firms	R1-6.1.2 The Licence Holder shall make reference to and comply with the Joint EBA and ESMA guidelines on suitability of management body members and shareholders for entities under MiCA , where applicable.
	Part BII – UCITS ManCos	8.02: The Licence Holder shall make reference to and comply with the Joint EBA and ESMA guidelines on suitability of management body members and shareholders for entities under MiCA , where applicable.
	Part BIII - AIFMs	14.02: The Licence Holder shall make reference to and comply with the Joint EBA and ESMA guidelines on suitability of management body members and shareholders for entities under MiCA , where applicable.